



03-01-02 SOCO Seena  
005 Rec'd PCT/PTO 26 FEB 2002  
Patent #6  
Attorney Docket: 260/264

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

Inventor: ANTOINE CARPENTIER

Serial No.: 09/937,057

Filed: September 19, 2001  
(Priority PCT/FR00/00676 filed 3/19/1999)

For: patent law office

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

COPY OF PAPERS  
ORIGINALLY FILED

TRANSMITTAL OF MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f), which was mailed by the Patent Office on \_\_\_\_\_, enclosed are:

- ☒ Declaration
- ☐ Power of Attorney: ☐ Separate or ☐ Combined with Declaration
- ☒ Assignment of the invention to Assistance Publique-Hopitaux De Paris AND Institut National De La Sante Et De La Recherche Medicale (together with PTO Form 1595)
- ☒ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)

OC-104554.1

CERTIFICATE OF MAILING  
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EV 051628982 US  
Express Mail Label No.

February 26, 2002  
Date of Deposit

Lynne Fulmer  
Name of Person Mailing Paper

*Lynne Fulmer*  
Signature of Person Mailing Paper

☒ Return Postcard

**II. REQUEST FOR EXTENSION OF TIME.**

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$200.00	\$400.00
<input type="checkbox"/> three months	\$460.00	\$920.00
<input type="checkbox"/> four months	\$720.00	\$1,440.00
<input type="checkbox"/> five months	\$980.00	\$1,960.00

**Fee**

☐ If any extension fee is required, please consider this a petition therefor.

**III. FILING FEES**

☐ Applicant claims small entity status pursuant to 37 CFR 1.27.

<b>BASIC FILING FEE:</b>						\$740.00
Total Claims Previously Paid For	31	-	20	=	11 x \$18.00	\$0.00
Independent Claims	3	-	3	=	0 x \$84.00	\$0.00
Multiple Dependent Claims	\$280	(if applicable)			<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)			<input checked="" type="checkbox"/>	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>						\$130.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.						<input type="checkbox"/> \$0.00
Extension of Time (from above)						\$0.00
Assignment -- \$40 (if applicable)						<input checked="" type="checkbox"/> \$40.00
<b>TOTAL FEES SUBMITTED HERewith</b>						\$170.00

**IV. METHOD OF PAYMENT OF FEES:**

- ☐ A check in the amount of \_\_\_\_\_ is enclosed to cover the above fee(s).
- ☒ Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of **\$170.00**.

- ☒ The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

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Respectfully submitted,

LYON & LYON LLP

Dated: February 26, 2002

By: 

Kurt T. Mulville  
Reg. No. 37,194



**22249**

PATENT TRADEMARK OFFICE

LYON & LYON LLP  
Suite 4700  
633 W. Fifth Street  
Los Angeles, CA 90071  
949/567-2300 X 1124 Telephone  
213/955-0440 Facsimile



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20230  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/937,057	Antoine Carpentier	260/264

INTERNATIONAL APPLICATION NO
------------------------------

PCT/FR00/00676

IA FILING DATE	PRIORITY DATE
03/17/2000	03/19/1999

Kurt T. Mulville  
Lyon & Lyon  
633 West Fifth St.  
Suite 4700  
Los Angeles, CA 90071

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CONFIRMATION NO. 4658

371 FORMALITIES LETTER



\*OC000000007373722\*

Date Mailed: 02/01/2002

OATH OR DECLARATION DUE 04/01/02

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments

Adjustment date: 07/31/2002 KMCLEAN1  
03/07/2002 VTRUONG1 00000050 122475  
01 FC:105 130.00 CR

RECEIVED

FEB 11 2002

U.S. PROSECUTION

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

03/07/2002 VTRUONG1 00000050 122475 09937057  
01 FC:105 130.00 CH

07/31/2002 KMCLEAN1 00000001 122475 09937057

01 FC:154 130.00 CH

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAREN R MCLEAN

Telephone: (703) 308-9117

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO
09/937,057	PCT/FR00/00676	260/264